### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

### (19) World Intellectual Property Organization International Bureau



### 

(43) International Publication Date 22 January 2004 (22.01.2004)

(10) International Publication Number WO 2004/007018 A1

(51) International Patent Classification<sup>7</sup>: 1/36, 1/30, 1/34

A61N 1/32,

(21) International Application Number:

PCT/GB2003/003235

(22) International Filing Date:

16 July 2003 (16.07.2003)

(25) Filing Language:

English

(26) Publication Language:

**English** 

(30) Priority Data:

0216567.8 17 July 2002 (17.07.2002) GB 0220644.9 5 September 2002 (05.09.2002) GB 0228027.9 2 December 2002 (02.12.2002) GB

- (71) Applicant (for all designated States except US): AD-VANCED PAIN MANAGEMENT HOLDINGS PLC [GB/GB]; The Saturn Centre, Cnallenge Way, Blackburn, Lancashire BB1 5QB (GB).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): ROYLE, John [GB/GB]; Advanced Pain Management Holdings PLC, The Saturn Centre, Challenge Way, Blackburn, Lancashire BB1 5QB (GB).

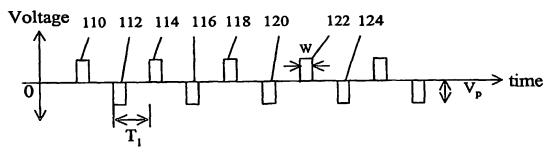
- (74) Agents: NEILL, Alastair, William et al.; Appleyard Lees, 15 Clare Road, Halifax HX1 2HY (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: APPARATUS FOR THE APPLICATION OF ELECTRICAL PULSES TO THE HUMAN BODY



(57) Abstract: An apparatus is described for applying electrical pulses to a patients body by at least two electrodes at respective locations on the patients body, the apparatus comprising a pulse generating unit connectable to the electrodes, the pulse generating unit being arranged to provide a series of electrical pulses, wherein said series of pulses comprises a plurality of first and second polarity impulses having a temporal spacing between the first and second impulses, wherein each impulse has a width of between 2 to 30µS.





WO 2004/007018

10

15

# C'0707/PTO 14 JAN 2005



APPARATUS FOR THE APPLICATION OF ELECTRICAL PULSES TO THE HUMAN BODY

### 5 Field of the Invention

This invention relates to apparatus and methods suitable for, but not limited to, the application of electricity to the skin so as to modulate nerves electronically.

Background to the Invention

Today, the therapeutic and diagnostic uses of electricity in medicine are widespread. Extensive literature exists on electro-therapy, the therapeutic application of electricity, which is suitable for treatment of a range of medical conditions.

TENS (Trancutaneous Electrical Nerve Stimulation) is the application of electrical pulses via electrodes placed on 20 the skin of a patient, so as to produce a rather shortlived, localised region of analgesia. TENS devices typically utilise pulses of width 50-500µs, at a current of amplitude 0-50mA, delivered at a frequency of 80-100Hz. The TENS pulse is intended to be sufficiently long in 25 duration to excite nerve fibres in the immediate vicinity of the electrodes to cause a painless tingling at low voltage (the voltage amplitude of TENS pulses that can be tolerated by a patient tends to be limited by the level of tingling sensation that can be comfortably endured). 30

TSE (Trancutaneous Spinal Electroanalgesia) improves upon TENS by providing a longer-lasting form of analgesia, that

is more generalised (i.e. not limited to the immediate vicinity of the electrical stimulation). TSE is, for instance, described within US 5,776,170 which describes the original research performed in relation to this treatment.

US 5,776,170 describes how, by applying a continuous series of electrical rectangular pulses to two electrodes, analgesic effects are induced in the central nervous system. The pulses can be a monopolar or bipolar pulse series. The pulses used by the TSE stimulator are typically of 180 volts amplitude (compared with 35-50 volts of the TENS device), with a relatively narrow pulse width (1-10µs), at frequencies of typically 600-800Hz.

15

20

25

Figure 1 illustrates such a continuous bipolar pulse stream. Rectangular pulses 10, 12, 14 of width W, and amplitude  $V_p$  are delivered at regular predetermined intervals T. The pulse frequency is thus 1/T Hz (when T is expressed in seconds).

In traditional electro-therapy, the efficacy of treatments is generally proportional to the voltage used. However, high voltages are normally both painful to the body, and damaging to tissues. As many electro-therapy devices are powered by batteries, the high energy usage associated with high voltages is also problematic.

Clinical efficacy is also a function of the frequency at which the pulses are delivered. However, whilst the body tissues are typically unharmed by the application of high frequency pulses, the heat generated in the electrodes utilised to apply the pulses can burn the tissues of the

body. For instance, US, 5,776,170 describes how voltage has to be decreased at high frequencies so as to reduce unwanted heating effects e.g. pulses of amplitude 150 volts can be utilised at a frequency of 5kHz, whilst the voltage has to be reduced to 25 volts at 150kHz.

It is an aim of embodiments of the present invention to overcome, or at least alleviate, one or more problems of the prior art, whether referred to herein or otherwise.

10

15

20

5

### Statements of the Invention

In a first aspect of the present invention, there is provided an apparatus for applying electrical pulses to a patients body by at least two electrodes at respective locations on the patients body, the apparatus comprising a pulse generating unit connectable to the electrodes, the pulse generating unit being arranged to provide a series of electrical pulses, wherein said series of pulses comprises a plurality of first and second polarity impulses having a temporal spacing between the first and second impulses, wherein each impulse has a width of between 2 to 30µS.

25 Preferably, the first polarity is positive and the second polarity is negative.

Preferably, the first polarity is negative and the second polarity is positive.

30

Preferably, each impulse has a width of more than  $10\mu S$ .

20

25

Preferably, each impulse has width of 15 to  $20\mu S$ .

Preferably, said series of pulses has a spacing of at least  $4\mu S$  between impulses.

Preferably, said series of pulses has a spacing of at least  $6\mu S$  between impulses.

Preferably, said series of pulses has a spacing of at  $10 \, \text{least} \, 10 \, \text{pulses}$  between impulses.

Preferably, said series of pulses has a spacing of at least  $20\mu S$  between impulses.

15 Preferably, the series has a maximum spacing of  $10\mu S$  between impulses.

Preferably, the apparatus has a maximum spacing of  $20\mu S$  between impulses.

A 14µs gap is thought to be usable in most applications.

Preferably, a temporal space exists between a plurality of contiguous impulses.

Preferably, a temporal space exists between a majority of impulses.

Preferably, a temporal space exists between all impulses.

Preferably, each impulse has an asymmetric shape.

30

Preferably, the transition time from 0 Volts to a peak magnitude is less than or equal to 30% of the impulse width.

5 Preferably, the transition time from 0 Volts to the peak magnitude is less than or equal to 10% of the impulse width.

Preferably, the transition time from 0 to the peak 10 magnitude is less than or equal to 5% of the impulse width.

Preferably, the transition time from 0 to the peak magnitude is less than or equal to 1% of the impulse width.

Preferably, the transition time between the positive voltage peak and the negative voltage peak is at least 70% of the pulse period.

Preferably, said impulses have a peak amplitude lying within the range 50 to 450 Volts, plus or minus respectively.

25 Preferably, each impulse has an amplitude within the range 150 to 250 Volts, plus or minus respectively.

Preferably, the magnitude of positive peak amplitude is substantially equal to the magnitude of the negative peak amplitude.

Preferably, during the spacing between impulses the output of the pulse generating unit remains at a level substantially equal to zero Volts.

- 5 Preferably, the series of impulses are delivered at a predetermined frequency lying within the range 100Hz to 250kHz. This may be 1kHz to 5kHz or more preferably, 2kHz to 3kHz.
- 10 Preferably, the series of impulses are delivered at a predetermined frequency lying within the range 1kHz to 250kHz.
- Preferably, the series of impulses are delivered at a predetermined frequency lying within the range 50kHz to 250kHz.

Preferably, the series of impulses is an intermittent series of pulses.

20

Preferably, in said intermittent series of electrical impulses, the ratio of the time period for which no impulses are being provided to the time period for which impulses are being regularly provided is within the range

25 1:3 to 1:20.

Preferably, said ratio is approximately 1:10.

Preferably, at least one pause occurs in said intermittent series of impulses at least once every second

Preferably, said pause is of duration of at least 0.5 millisecond.

Preferably, the apparatus further comprises a battery for providing power to said generating unit for the generation of said pulses.

5

Preferably, the apparatus further comprises at least two electrodes arranged for connection to said generating unit, for supplying electrical pulses to respective locations on the patients body.

10

25

Preferably, the apparatus is for providing therapy to a patient.

Preferably, said apparatus is for supplying electrical pulses to two or more locations on the patients body overlying the central nervous system, such that the pulses induce analgesic effects in the central nervous system, whilst stimulating peripheral nerves that lie between the electrodes and the central nervous system to a lesser extent or not at all.

Preferably, said apparatus is for providing iontophoresis iontophoresis two least body by at patients electrodes at respective locations on the patient's body, generating pulse comprising a apparatus the connectable to the electrodes, the pulse generating unit being arranged to provide a series of electrical pulses having a peak amplitude of at least 50 Volts.

Preferably, the apparatus further comprises at least two iontophoresis electrodes arranged for connection to said generating unit, for supplying electrical pulses to respective locations on the patient's body, at least one

of said electrodes incorporating a medication in ionic form for application to the patient's body.

According to another aspect of the present invention, there is provided a method for applying electrical pulses to a patients body by utilising at least two electrodes at respective locations on the patients body, the method comprising applying an intermittent series of electrical pulses.

10

According to another aspect of the present invention, there is provided a method for providing iontophoresis to a patient by utilising at least two electrodes at respective locations on the patients body, at least one of the electrodes incorporating an ionic medication, the method comprising applying a series of pulses, each pulse having a peak amplitude of at least 50 Volts to the electrodes, such that the medication is passed into the body of the patient.

20

25

15

# Brief Description of the Drawings

For a better understanding of the invention, and to show how embodiments of the same may be carried into effect, reference will now be made, by way of example, to the accompanying diagrammatic drawings in which:

Figure 1 illustrates a typical bipolar pulse train of a known TSE device;

Figure 2 illustrates a series of impulses in accordance with a first embodiment of the present invention;

Figure 3 illustrates a series of intermittent impulses according to the present invention.

Figure 4 illustrates an impulse shape in accordance with the present invention;

Figure 5 illustrates a second impulse shape in accordance with the present invention;

- 5 Figure 6 is a device suitable for iontophoresis using the spaced impulses according to the present invention;
  - Figure 7 is a schematic diagram of a device suitable for producing pulses in accordance with an embodiment of the present invention; and
- 10 Figure 8 illustrates the waveforms at various points in the device shown in Figure 7.

# Detailed Description of Preferred Embodiments

- The present inventor has realised that, by appropriately changing the waveform applied to the patient, there can be an improvement in the performance of the electrical treatment. This can be achieved by providing positive and negative impulses with a spacing between impulses and optionally the series of pulses may be changed to an intermittent series.
- In a first aspect, a series of positive and negative impulses having a spacing T are used instead of the bipolar voltage pulses proposed by the prior art. In initial trials it has been found that a spacing T between impulses proves effective. A spacing of 4µS or even 6µS is preferable between impulses. Such impulses are shown in Figure 2.

Surprisingly, use of such a pulse sequence allows relatively long duration impulses of at least  $2\mu S$  and up to  $30\mu S$  of relatively high voltage amplitude to be applied

15

20

25

to a patient. This enables an increased quantity of electrical charge to be applied to the patient without unwanted side effects, thus increasing the efficacy of the treatment. The spacing provided between positive and negative impulses allows nerve fibres to recover between impulses, enabling improved performance.

Use of both positive and negative voltage impulses has been termed ENM (Electronic Nerve Modulation), and evidence suggests it provides superior treatment to TSE. For instance, ENM appears to alleviate the symptoms of viral infection, and also to decrease the period of infection. It has also been noted that ENM appears to be beneficial in the treatment of patients suffering from epilepsy.

In an optional modification, by providing an intermittent series of impulses, rather than the continuous series of pulses utilised by the prior art, high frequency electrical signals can be applied to a patient without a significant build up of heat in the electrodes. Thus, by using an intermittent series of electrical impulses, then for a given impulse frequency, higher voltages can be utilised without the electrodes burning the skin of the patient. Alternatively, for a predetermined impulse voltage amplitude, higher frequencies can be achieved without damaging tissues.

Further, as the number of impulses delivered in any given interval is reduced compared with a continuous series of impulses, then for a given pulse shape, amplitude and frequency, it will be appreciated that the power required

is reduced. Thus, there is an improvement in battery life.

Initial trials have indicated that, despite the number of impulses being reduced due to the intermittent nature of the pulse series, clinical efficacy is not decreased compared with a similar continuous pulse series.

Figure 3 illustrates an intermittent series of impulses. in this example comprises a number of The series 10 substantially uniformally sized and shaped impulses 210, 212, 214, 216, 218. The impulses are each of width W, with the spacing between each impulse in the series being The impulses have an amplitude of  $V_p$  volts normally  $T_1$ . plus or minus respectively, and in this instance are 15 The intermittent substantially rectangular in shape. series is achieved by providing a pause of temporal duration  $T_2$ , during which there are no impulses in the sequence. Preferably, the pause is an integral number of the impulse repeat period  $T_1$  (i.e.  $T_2 = n \times T_1$ , where n is 20 any integer). Figure 2 illustrates the case where  $T_2$  =  $3T_1$ , with the 3 dashed impulse shapes 316, indicating those impulses that have effectively been removed from the pulse sequence by the presence of the 25 pause.

In order for nerve modulation to take place, it is desirable that the width W of the impulses lies within the range 2-30µs. In some instances, the impulse shape may limit the width W. For instance, a patient will normally experience a sensation if a square wave impulse wider than 10µs is utilised. Other, preferred waveforms are described below that allow longer width impulses to be

utilised. Preferably, the impulses have a peak amplitude  $(V_p)$  within the range of 50 to 450 volts, plus or minus respectively. Preferably, the impulses are delivered at a predetermined frequency (i.e. 1/T1) lying within the range 100Hz to 250kHz. For most applications 2kH - 3kHz will be used and for medical uses 10kHz may be the upper frequency limit. The intermittent series of pulses effectively delivered comprises blocks of impulses at the predetermined frequency  $(1/T_1)$ , with the blocks separated by pauses of duration T2.

It will be appreciated that the repeat frequency of the pauses can be varied, however it is preferable that the total time period for the pause (i.e. the time period for which no pulse is being provided) compared with the average block length of the pulses (i.e. the time period for which pulses are being regularly provided) lies within the range 1:3 to 1:20. Preferably, the pauses are of duration of at least 1 millisecond (i.e.  $T_2 = 1 \text{ms}$ ).

20

25

30

10

15

Experiments have indicated that an intermittent pause timed at 1.3 milliseconds has no effect on clinical efficacy, but it is anticipated that pauses for longer duration will also be effective, and have either no, or comparatively little effect upon the clinical efficacy. It will be appreciated that in a signal of 2500Hz, a pause of 1.3ms is over three times the length of the electronic pulse cycle (i.e. the pulse repeat time, T<sub>1</sub>), whilst in a signal operating at 20000Hz, it is over 25 times the length of the electronic pulse cycle.

It will be appreciated that the maximum frequency can be linked to the wavelength used.

rectangular shaped embodiment, above the impulses have been illustrated, it has been discovered that spiked impulses (i.e. pulses with very little signal duration at maximum amplitude) are particularly effective. 5 Such impulses preferably also have relatively fast rise This results in the pulse width W being and fall times. relatively short compared to the length of the pulse cycle (e.g. W is less than 20% of  $T_1$ , or more preferably W is less than 10% of  $T_1$ , or even less than 5% or 1% of  $T_1$ ). 10 Spiked impulses are believed to be particularly efficient, as they allow relatively high voltages to be utilised for a given impulse power compared with a rectangular shaped impulse.

15

Such a series of spaced positive and negative voltage impulses can be used as part of an intermittent series of pulses. Alternatively, the pulses can be used in a continuous series of pulses. Use of either pulse series allows a larger electrical charge to be provided to the patient than suggested by the prior art. For instance, pulses have been used with an amplitude within the range of 100 to 400 volts, without any sensations being experienced by the patient.

25

20

The prior art suggests that use of such high voltage pulses would lead to burning within the skin of a patient. However, it is believed that use of both positive and negative voltage pulses prevents the build up of charge within the skin, and hence the skin is less likely to burn.

25

30

The use of a fast rise time (the transition time from 0 volts to the peak voltage) of the pulses is preferable, as it is understood to lower the electrical resistance of the skin without stimulating the peripheral nerves, so that the subject (i.e. patient) feels no sensation. Further, this enables a relatively large quantity of electrical charge to pass through the skin and tissues.

It is also preferable that the voltage decays from the respective positive or negative peak voltage to zero volts, so as to ensure that the peripheral nerves are not stimulated.

Preferably, this decay occurs over a relatively long time period (e.g. up to  $30\mu s$ ), so as to maximise the electrical charge being passed to the patient.

The efficacy of the treatment appears to be related to the pulse width, with wider pulses providing more effective treatment, presumably due to the increase in the total electrical power that can be applied to the patient. negative voltage impulses utilising positive and described above, the impulse width can be dramatically compared with the impulse width rectangular pulse. For instance, typical rectangular impulses are limited to a width of about 4µs, as longer rectangular impulses lead to a tingling feeling within the patient. However, using positive and negative voltage impulses, longer pulse widths can be comfortably utilised on a patient e.g. pulses of widths of up to 30µs, although preferably within the range 10 to 20µs, and more preferably of a width of substantially 15µs. significant discovery allows a greatly increased

electrical charge to be applied to a patient, enabling a range of therapies to be provided for the patient.

sequence of а a portion illustrates 4 Figure alternating asymmetric positive and negative voltage impulses having a spacing between impulses. The positive voltage impulses 410 can be seen to be characterised by a rise time  $(W_{pl})$ , the time taken by the impulse transition from zero volts to the peak voltage  $(V_{\text{pos}})$ . this example, the impulse then immediately decays from the 10 peak voltage  $V_{\text{pos}}$  back to zero volts, taking a time  $(W_{\text{p2}})$ to return to zero from the peak voltage. The approximate total width of the positive impulse  $W_p$  is thus:  $W_p = W_{p1} + W_{p2}$ .

15 After a time delay of  $T_d$  after the positive voltage impulse, a negative voltage pulse 420 is delivered. The negative voltage impulse takes a time  $W_{n1}$  to "rise" from zero volts to the peak negative voltage  $(V_{neg})$ , and subsequently takes a time  $W_{n2}$  to fall from the peak negative voltage back to zero volts. Consequently, as the transition from the rising edge of the impulse to the falling edge of the pulse is almost instantaneous, the approximate total width of the pulse  $W_n$  is  $W_n = W_{n1} + W_{n2}$ .

In this example, positive and negative voltage impulses are alternated, with the repeat period (e.g. the time period between the start of successive positive voltage impulses) being  $T_r$ . This repeat period defines the effective frequency of the resulting pulse series (i.e. frequency =  $1/T_r$ ). It can be noted that the pulse series shown in Figure 4 has a peak-to-peak duration of over 70% of  $T_r$ . Whilst in this example Td is greater than the delay between the negative impulse and the second positive

impulse, it will be appreciated that this is merely optional and Td can equal the delay between second and third impulses.

It will be appreciated that the various parameters of 5 these impulses can vary as disclosed generally within this In this example, both the positive and specification. negative voltage impulses are of similar shape, and of similar amplitude and duration. However, any of these parameters of these pulses can be altered. 10 whilst a delay  $T_d$  between the pulses is shown to be  $6\mu S$ , this delay can in fact take any value from 6µS up to Typically, it is envisaged that approximately 1,500µs. each pulse will be of total width of up to 30µs (i.e.  $W_n \le 30 \mu s$ ,  $Wp \le 30 \mu s$ ), with the peak voltages of each pulse 15 being within the range 50-450 volts. In trials, such pulses appear to have a strong relaxation effect upon patients.

Figure 5 illustrates an additional impulse shape of the 20 present invention, with in this instance the first peak in the pulses being the positive voltage peak. The pulse cycle is again of length T1, with the overall pulse width The peak to peak voltage is shown as  $V_{pp}$ , with in this instance both the positive and the negative peaks 25 being of similar amplitude (i.e. half of  $V_{pp}$ ). It will be seen that both the positive and negative impulses can be characterised by two time periods  $(W_1, W_2)$ , where  $W = W_1 +$ The initial transition from zero volts to the first peak voltage (in this case, the rise time of impulse) is 30 of duration  $W_1$ , the transition time from the first pulse peak to zero volts is of duration W2.

It is desirable that  $W_1$  is relatively quick compared with the overall pulse width W i.e.  $W_1 \leq 0.3W$ , and more preferably  $W_1 \leq 0.05W$  or  $W_1 \leq 0.01W$ . Preferably, the first differential of voltage change constantly changes during the transition time  $W_2$ , and preferably the voltage changes at an exponential rate.

Thus the waveforms provide a contiguous series of impulses with temporal spacings therebetween. The repeat of a first impulse can be regarded as a third impulse with a temporal spacing between the second and third impulses as well as between the first and second impulses.

is a process which allows for enhanced Iontophoresis transdermal drug delivery by use of an applied current 15 through the skin. The application of an electric current causes the migration of drugs or medications, in their migration the tissues, the into ionic form, proportional to the electrical charge applied through the iontophoretic system. Work on iontophoresis has indicated 20 that applying a voltage to the skin acts to lower the electrical resistance of the skin, the decrease electrical resistance being proportional to the applied voltage.

25

30

10

A typical apparatus for providing iontophoresis comprises a current source connected to at least two electrodes. The electrodes may be incorporated within a single unit, commonly called a transdermal patch. Typically, one of the electrodes will contain an ionic medication  $(D^+ \, A^-)$ , and the other an electrolyte  $(H^+ \, A^-)$ . During iontophoresis treatment, the transdermal patch is applied to the

patient's skin and the ionic medication is delivered to the patient with aid of the applied electric current.

The article by Mark R. Prausnitz, "The effects of electric current applied to the skin: A review for transdermal drug 5 delivery", Advanced Drug Delivery Reviews 18 (1996) 395-425, provides an overview of the effects of electrical The article describes how, at current applied to skin. high voltages, the resistivity of the skin may change Electrical burns can result if the electric rapidly. 10 current flowing through tissues or bones is too high. Burns are believed to be due to the highly localised densities at sites of heating by large current electrical resistance.

15

20

25

30

In order to prevent such electrical burns, iontophoresis devices utilise a current source 20 to provide a continuous predetermined level of current (e.g. 2mA). This typically corresponds to an applied voltage of around 2 Volts, and is understood to rarely exceed 10 Volts.

The present inventor has determined that problems of prior art iontophoresis devices can be overcome by providing iontophoresis using the spaced positive and negative impulses of the present invention. This allows relatively high voltages to be utilised, without any associated burns or sensations. As the current into the body is non-linear with respect to voltage, this allows a proportionally greater current to be utilised, and subsequently a larger amount of medication to be delivered.

Figure 6 illustrates an iontophoresis device 600 in accordance with a preferred embodiment of the present

25

invention. The device is powered by a battery (not shown). In use, the electrodes 632, 634 are positioned on the skin 690 of a patient. When a voltage is applied to the electrodes, a circuit is formed between the two electrodes via the body of the patient. The resulting current flowing through the skin 690 of the patient drives the ionic medication into the skin 690 and the tissue 691 to be absorbed by the patients body.

10 The apparatus is essentially the same as a prior art iontophoresis device, apart from the fact that instead of a DC current source, a pulsed voltage source 620 is utilised to provide a series of spaced positive and negative voltage impulses according to the present invention to the electrodes 632, 634.

Figure 7 illustrates an apparatus 700 suitable to automatically produce an intermittent series of alternating positive and negative voltage pulses. The apparatus is powered by a battery 710, supplying a predetermined voltage of "a" Volts.

The apparatus can be envisaged as being in four distinct portions: a continuous fast pulse generator 730; a modulation waveform generator 720, 740; the output pulse shaping unit (760, 770, 750, 780); and the output electrodes 790a, 790b.

Figure 8 illustrates the waveforms at points marked A, B, 30 C and "output" in the apparatus schematically shown in Figure 7.

The continuous fast pulse generator 730 is arranged to generate a continuous sequence of impulses at the desired, predetermined positive impulse output pulse frequency. In this instance, the output waveform is of similar shape to that illustrated in Figure 4, but with a negative first pulse. The waveform A is provided at one input to an OR logic gate 740.

The modulation waveform generator 720 is used to generate amplitude modulating suitable for waveform 10 continuous fast pulse generator output, so as to obtain the desired pauses in the pulse series. In this instance, due to the particular implementation of the apparatus, the output of the modulation waveform generator is in fact the inverse of the desired amplitude modulation envelope. 15 Consequently, the waveform B output by the modulation waveform generator 720 is at logic 1 during the desired pause interval (i.e. indicated by  $T_2$  in Figure 2), and at logic 0 for the remainder of the time.

20

The OR gate 740 combines the two input waveforms A, B using the logical OR operation, and outputs waveform C.

The high voltage switch 750 is operated by the output of the OR gate 740 i.e. by waveform C. The high voltage switch 750 controls the charging and discharging of capacitor 770.

The capacitor 770 charges up via the operation of a transformer (step up converter) 760, which acts to step up the voltage from the battery power supply 710.

The high voltage switch 750 operates so as to allow the capacitor 770 to be charged up to a relatively high voltage (i.e. approximately the desired peak voltage of the output pulse), with the capacitor being subsequently discharged to the output electrodes 790a, 790b. This output voltage discharge can occur through capacitor 780, which can act to differentiate the signal resulting from the discharge of capacitor 770, and so obtain the desired waveform i.e. an intermittent series of spaced alternating positive and negative voltage impulses.

The output voltage waveform is provided across electrodes 790a and 790b, before application to the body of the patient.

.15

20

25

30

10

It will be appreciated that the apparatus shown in Figure 7 can be adapted to generate a continuous series of spaced positive and negative voltage impulses. Such a continuous pulse series generator is achieved by providing the output of the continuous fast pulse generator (A) directly to the input (C) of the high voltage switch 750. In other words, simply deleting the modulation waveform generator 720 and the OR gate 740 from the apparatus results apparatus being suitable for providing a continuous series If desirable, of positive and negative impulses. switching arrangement could be implemented, modify the apparatus shown in Figure 4 to be used for producing both an intermittent series and a continuous In the first configuration, the series of impulses. connections are shown as in Figure 5. In the second, switched configuration, output A of generator connected directly to input C of high voltage switch 750,

10

15

20

25

30

with the output from the OR gate 740 disconnected from the circuit.

in order to obtain ENM, the electrodes are normally applied to the surface of a body overlying the central nervous system, such that analgesic effects tend to be effected in the central nervous system whilst lie between the stimulating peripheral nerves that electrodes and the central nervous system to a lesser extent or not at all. If desired, the electrodes could be implanted within the body, including within the skin, but it is more preferable that they are designed to simply be placed in contact with the skin surface. Typically, the electrodes are spaced apart by a distance of around 10cm, system, are always over the central nervous and irrespective of the location of the pain.

In the context of this invention, the term "central nervous system" should be interpreted to include the brain and the spinal cord, and also include the other neural tissues which may otherwise be classed as part of the peripheral nervous system, but are in close anatomical proximity to the central nervous system, such as the ganglia, autonomic or somatic, such as the dorsal root ganglia.

It will be appreciated that the above description is provided by way of example only, and that various other waveforms, and apparatus suitable for producing such waveforms, would be understood as falling within the scope of the present invention. Further, whilst the apparatus has been described in terms of being utilised for ENM, it will be appreciated that other, similar apparatus can make

use of the present invention. Electrodes of such apparatus need not be located over the central nervous system when in use.

suggests that locating instance, evidence 5 For electrodes of a pulse generator on either side of the carotid bodies of a patient can assist in management of the cardio vascular system. Applying this type of pulse operating frequency at an herein as described approximately 20kHz, with a peak to peak voltage of 10 between 250-300 volts has been shown to effect cardiovascular system, including altering the pulse rate of a patient.

15 Further, evidence suggests that application of this type of pulse to patients who suffer from epilepsy appears to reduce the number of epileptic fits.

A double blind placebo controlled crossover trial using ENM technology has just been completed. Although full 20 statistical analyses of the results of this trial are not available, certain conclusions be can Preliminary examination of the data demonstrates that patients are reporting pain relief after each treatment However, a more significant and with an ENM device. 25 outstanding observation is that during a one week trial of an ENM device the overall level of pain suffered by the user decreased as time went by, in other words, by day seven the pre-treatment pain level is significantly lower than the pre-treatment pain level earlier in the week. 30

Throughout this document, the term patient is not limited to humans, but can be understood as relating to any

vertebrate species including mammals. This can include animals such as cats, dogs and horses.

- Whilst the preferred embodiment has been described as being powered by a battery, it will be appreciated that any power source could be utilised to power the device, including a power supply comprising a transformer, and suitable for connection to a mains electricity supply.
- Where upper and/or lower limits are mentioned alone or in combination, these can be combined with other lower and/or upper limits even if not expressly mentioned herein, to the extent that such is logically consistent.
- The reader's attention is directed to all papers and documents which are filed concurrently with or previous to this specification in connection with this application and which are open to public inspection with this specification, and the contents of all such papers and documents are incorporated herein by reference.
  - All of the features disclosed in this specification (including any accompanying claims, abstract and drawings), and/or all of the steps of any method or process so disclosed, may be combined in any combination, except combinations where at least some of such features and/or steps are mutually exclusive.
- Each feature disclosed in this specification (including any accompanying claims, abstract and drawings), may be replaced by alternative features serving the same, equivalent or similar purpose, unless expressly stated otherwise. Thus, unless expressly stated otherwise, each

feature disclosed is one example only of a generic series of equivalent or similar features.

The invention is not restricted to the details of the foregoing embodiment(s). The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any accompanying claims, abstract and drawings), or to any novel one, or any novel combination, of the steps of any method or process so disclosed.

#### CLAIMS:

- An apparatus for applying electrical pulses to a patients body by at least two electrodes at respective apparatus body, the patients the locations on5 comprising a pulse generating unit connectable to the electrodes, the pulse generating unit being arranged to provide a series of electrical pulses, wherein said series of pulses comprises a plurality of first and second polarity impulses having a temporal spacing 10 between the first and second impulses, wherein each impulse has a width of between 2 to  $30\mu S$ .
- 2. An apparatus as claimed in claim 1, wherein the first polarity is positive and the second polarity is negative.
- An apparatus as claimed in claim 1, wherein the first polarity is negative and the second polarity is positive.
  - 4. An apparatus as claimed in claim 1, 2 or 3, wherein each impulse has a width of more than  $10\mu S$ .
- 25 5. An apparatus as claimed in claim 4, wherein each impulse has width of 15 to  $20\mu S$ .
- 6. An apparatus as claimed in any of claims 1 to 5, wherein said series of pulses has a spacing of at least 4µS between impulses.

PCT/GB2003/003235

- 7. An apparatus as claimed in any of claims 1 to 5, wherein said series of pulses has a spacing of at least 6µS between impulses.
- 5 8. An apparatus as claimed in any of claims 1 to 5, wherein said series of pulses has a spacing of at least 10μS between impulses.
- 9. An apparatus as claimed in any of claims 1 to 5, wherein said series of pulses has a spacing of at least 20 µS between impulses.
  - 10. An apparatus as claimed in any of claims 1 to 7, having a maximum spacing of 10µS between impulses.
- 11. An apparatus as claimed in any of claims 1 to 8 having a maximum spacing of 20µS between impulses.
- 12. An apparatus as claimed in any preceding claim,
  wherein a temporal space exists between a plurality of
  contiguous impulses.
- 13. An apparatus as claimed in any preceding claim, wherein a temporal space exists between a majority of impulses.
  - 14. An apparatus as claimed in any preceding claim, wherein a temporal space exists between all impulses.
- 30 15. An apparatus as claimed in any preceding claim, wherein each impulse has an asymmetric shape.

25

30

- 16. An apparatus as claimed in claim 15, wherein the transition time from 0 Volts to a peak magnitude is less than or equal to 30% of the impulse width.
- 5 17. An apparatus as claimed in claim 16, wherein the transition time from 0 Volts to the peak magnitude is less than or equal to 10% of the impulse width.
- 18. An apparatus as claimed in claim 17, wherein the transition time from 0 to the peak magnitude is less than or equal to 5% of the impulse width.
- 19. An apparatus as claimed in claim 18, wherein the transition time from 0 to the peak magnitude is less than or equal to 1% of the impulse width.
  - 20. An apparatus as claimed in any previous claim, wherein the transition time between the positive voltage peak and the negative voltage peak is at least 70% of the pulse period.
  - 21. An apparatus as claimed in any preceding claim, wherein said impulses have a peak amplitude lying within the range 50 to 450 Volts, plus or minus respectively.
    - 22. An apparatus as claimed in claim 21, wherein each impulse has an amplitude within the range 150 to 250 Volts, plus or minus respectively.
    - 23. An apparatus as claimed in any preceding claim, wherein the magnitude of positive peak amplitude is

substantially equal to the magnitude of the negative peak amplitude.

- 24. An apparatus as claimed in any preceding claim,

  wherein during the spacing between impulses the output

  of the pulse generating unit remains at a level

  substantially equal to zero Volts.
- 25. An apparatus as claimed in any preceding claim,
  wherein the series of impulses are delivered at a
  predetermined frequency lying within the range 100Hz
  to 250kHz.
- 26. An apparatus as claimed in any preceding claim,
  wherein the series of impulses are delivered at a predetermined frequency lying within the range 1kHz to 250kHz.
- 27. An apparatus as claimed in claim 26, wherein the predetermined frequency lies within the range 1kHz to 5kHz.
- 28. An apparatus as claimed in claim 26 or claim 27 in which the predetermined frequency lies in the range 25 2kHz to 3kHz.
  - 29. An apparatus as claimed in any preceding claim, in which said series of pulses comprise a third impulse spaced from the second impulse by a temporal spacing.
  - 30. An apparatus as claimed in any of claims 1 to 24, wherein the series of impulses is an intermittent series of pulses.

- 31. An apparatus as claimed in claim 30, wherein, in said intermittent series of electrical impulses, the ratio of the time period for which no impulses are being provided to the time period for which impulses are being regularly provided is within the range 1:3 to 1:20.
- 32. An apparatus as claimed in claim 31, wherein said ratio is approximately 1:10.
  - 33. An apparatus as claimed in any of claims 30 to 32, wherein at least one pause occurs in said intermittent series of impulses at least once every second

5

- 34. An apparatus as claimed in any of claims 30 to 33, wherein said pause is of duration of at least 0.5 millisecond.
- 20 35. An apparatus as claimed in any preceding claim, further comprising a battery for providing power to said generating unit for the generation of said pulses.
- 25 36. An apparatus as claimed in any preceding claim, further comprising at least two electrodes arranged for connection to said generating unit, for supplying electrical pulses to respective locations on the patients body.

30

37. An apparatus according to any preceding claim for providing therapy to a patient.

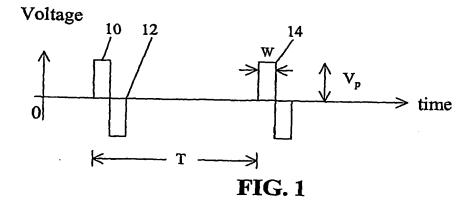
30

- 38. An apparatus as claimed in any preceding claim, wherein said apparatus is for supplying electrical pulses to two or more locations on the patients body overlying the central nervous system, such that the pulses induce analgesic effects in the central nervous system, whilst stimulating peripheral nerves that lie between the electrodes and the central nervous system to a lesser extent or not at all.
- 39. An apparatus as claimed in any preceding claim, wherein said apparatus is for providing iontophoresis to a patients body by at least two iontophoresis electrodes at respective locations on the patient's body, the apparatus comprising a pulse generating unit connectable to the electrodes, the pulse generating unit being arranged to provide a series of electrical pulses having a peak amplitude of at least 50 Volts.
- 39, further claim claimed in apparatus as 40. An least two iontophoresis electrodes comprising at 20 arranged for connection to said generating unit, for supplying electrical pulses to respective locations on the patient's body, at least one of said electrodes for in ionic form medication incorporating а application to the patient's body. 25
  - 41. An apparatus for applying an intermittent series of electrical pulses to the body of a patient substantially as described herein with reference to Figures 2 to 8.
  - 42. A method for applying electrical pulses to a patients body by utilising at least two electrodes at

respective locations on the patients body, the method comprising applying an intermittent series of electrical pulses.

- 43. A method for providing iontophoresis to a patient by utilising at least two electrodes at respective locations on the patients body, at least one of the electrodes incorporating an ionic medication, the method comprising applying a series of pulses, each pulse having a peak amplitude of at least 50 Volts to the electrodes, such that the medication is passed into the body of the patient.
- 44. A method for applying an intermittent series of
  electrical pulses to the body of a patient
  substantially as described herein with reference to
  Figures 2 to 8

# 1/5



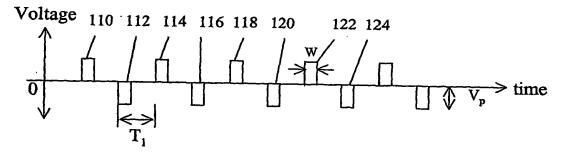
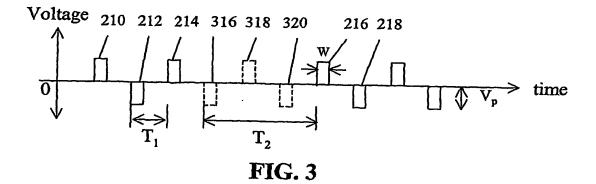


FIG. 2



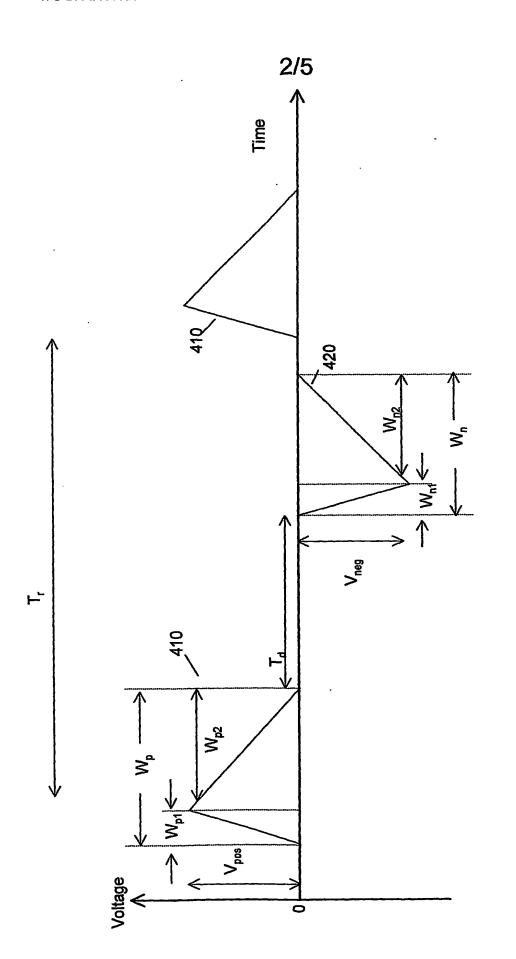


FIGURE 4

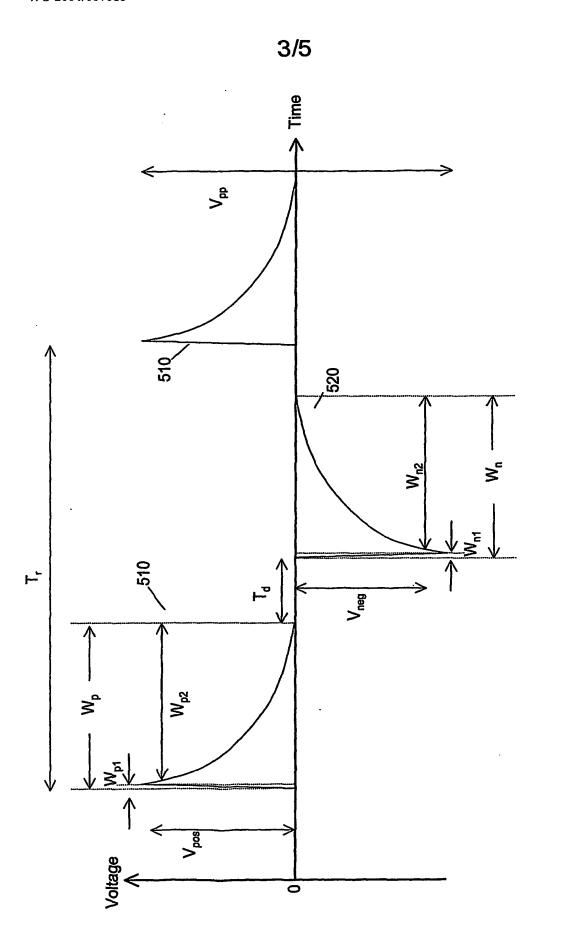


FIGURE 5



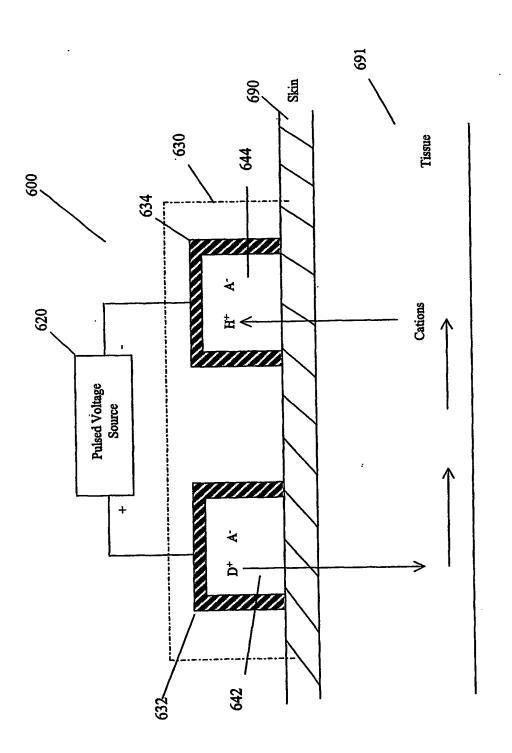
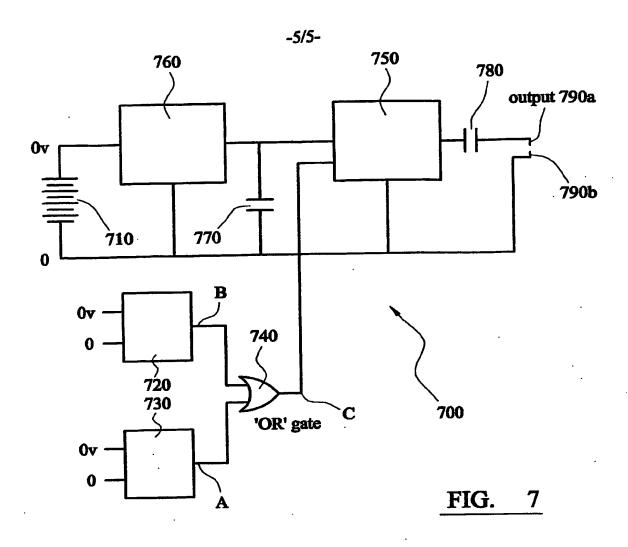


FIGURE 6



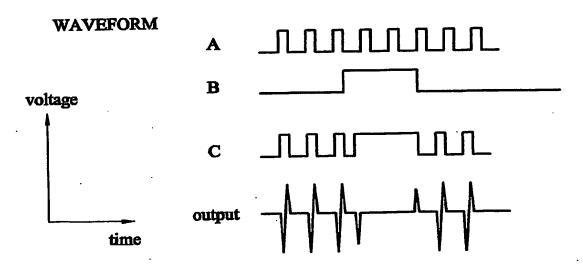


FIG. 8
SUBSTITUTE SHEET (RULE 26)





Internati

plication No

PCT/GB 03/03235

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61N1/32 A61N1/36

A61N1/30

A61N1/34

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7-A61N-H03K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 749 912 A (LOEB GERALD E ET AL) 12 May 1998 (1998-05-12)	1-14, 23-30, 35,36
A		15-20, 31-34
	column 14, line 1-15; figures 6,7	
X A	US 5 713 935 A (DENO D CURTIS ET AL) 3 February 1998 (1998-02-03)	1-5, 12-15, 23-25, 29,30, 35,36 6-11,16, 22,26,
		28,31, 34,37
	column 3, line 40 -column 5, line 43; figures 1-5	
	-/ <del></del>	

X Further documents are listed in the continuation of box C.	χ Patent family members are listed in annex.
Special categories of cited documents:  A' document defining the general state of the art which is not considered to be of particular relevance  E' earlier document but published on or after the international filling date  L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O' document referring to an oral disclosure, use, exhibition or other means  P' document published prior to the international filling date but later than the priority date claimed	"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the International search report
20 November 2003	02/12/2003
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Fischer, O

### INTERNATIONAL SEARCH REPORT

Internath pplication No PCT/GB 03/03235

		PCT/GB 0	3/03235
C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
A	EP 0 557 562 A (STAODYN INC) 1 September 1993 (1993-09-01) page 4, line 34 -page 6, line 57; figures 1-6		1-40
A	GB 2 301 287 A (TIPPEY KEITH EDWARD; AXELGAARD JENS (US)) 4 December 1996 (1996-12-04) page 16, line 25 -page 20, line 7; figures 14,15,21-26		1-38
A	GB 2 057 889 A (GORDON G A D) 8 April 1981 (1981-04-08) page 1, line 72 -page 3, line 88; figures 3D,3E		1-38
A	US 5 776 170 A (COATES TIMOTHY WILLIAM ET AL) 7 July 1998 (1998-07-07) cited in the application column 3, line 32 -column 6, line 65		1-40



## INTERNATIONAL SEARCH REPORT

Box   Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: 42-43 because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy
2. Claims Nos.: 41,44 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This International Searching Authority found multiple Inventions in this international application, as follows:
$\cdot$
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the Invention first mentioned in the claims; it is covered by claims Nos.:
PSUICED TO THE INVENTION HIS INSTITUTION IN THE GAINS, ILIS COVERED BY CIAINS 1405
The state of the s
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.
130 protest accompanied the payment of administration

### INTERNATIONAL SEARCH REPORT

International Application No. PCTGB 03 03235

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 41,44

Claims 41 and 44 only refer to the drawings: hence the claimed technical features cannot be clearly identified. Accordingly, claims 41 and 44 are so unclear that a meaningful search was not possible.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.





Information on patent family members

internatio pilcation No PCT/GB 03/03235

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5749912	A	12-05-1998	US	5549658 A	27-08-1996
			AU	3889995 A	15-05-1996
			WO	9612456 A1	02-05-1996
US 5713935	A	03-02-1998	EP	0929345 A1	21-07-1999
			WO	9807467 A1	26-02-1998
EP 0557562	A	01-09-1993	CA	2061732 A1	25-08-1993
			us	4813418 A	21-03-1989
			CA	1313540 C	09-02-1993
			ΕP	0557562 A1	01-09-1993
			US	5117826 A	02-06-1992
GB 2301287	Α	04-12-1996	AT	179339 T	15-05-1999
			ΑU	674678 B2	09-01-1997
			AU	4083593 A	30-12-1993
			CA	2136337 A1	09-12-1993
			DE	69324680 D1	02-06-1999
			DE	69324680 T2	16-12-1999
			EP	0641230 A1	08-03-1995
			WO	9324176 A1	09-12-1993
			GB	2269750 A ,E	23-02-1994
			JP	8501946 T	05-03-1996
			NO	944474 A	09-01-1995
			US	5562717 A	08-10-1996
			US	5702428 A	30-12-1997
GB 2057889	Α	08-04-1981	NONE		
US 5776170	A	07-07-1998	AU	5975694 A	29-08-1994
<b></b>			WO	9417855 A1	18-08-1994
			GB	2290033 A , E	3 13-12-1995